

SLOUGH BOROUGH COUNCIL

REPORT TO: Audit and Corporate Governance Committee

DATE: 16th March 2017

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WARD(S): ALL

PART I **FOR COMMENT & CONSIDERATION**

AUDIT AND RISK MANAGEMENT UPDATE – QUARTER 3 2016-2017

1. Purpose of Report

The purpose of this report is to:

- Report to members on the progress of the implementation of Internal Audit recommendations
- Report to members the Council's Risk Register

2. Recommendation(s)/Proposed Action

- That the Audit & Corporate Governance Committee comment on and note the progress of Internal Audit recommendations at Appendix 1
- That the Audit & Corporate Governance Committee comment on and note the updated Corporate Risk Register at Appendix 2

3. The Slough Joint Wellbeing Strategy, the JSNA and the Five Year Plan

The [Slough Joint Wellbeing Strategy](#) (SJWS) is the document that details the priorities agreed for Slough with partner organisations. The SJWS has been developed using a comprehensive evidence base that includes the Joint Strategic Needs Assessment (JSNA). Both are clearly linked and must be used in conjunction when preparing your report. They have been combined in the Slough Wellbeing Board report template to enable you to provide supporting information highlighting the link between the SJWS and JSNA priorities.

3a. Slough Joint Wellbeing Strategy Priorities

The report indirectly supports all of the strategic priorities and cross cutting themes.

The maintenance of excellent governance within the Council to ensure that it is efficient, effective and economic in everything it does is achieved through the improvement of corporate governance and democracy by ensuring effective management practice is in place.

The report helps achieve the corporate objectives by detailing how the Council is delivering the Council's budget in line with the approved budget.

4. **Other Implications**

(a) Financial

There are no direct financial implications of this report, however failure to implement actions raised could have an impact on the Council's ability to achieve its financial objectives.

(b) Risk Management

This report is concerned with the risk management and other governance arrangements of the Council

(c) Human Rights Act and Other Legal Implications

There are no human rights issues arising from this report

(d) Equalities Impact Assessment

There are no equality issues arising from this report

5. **Supporting Information**

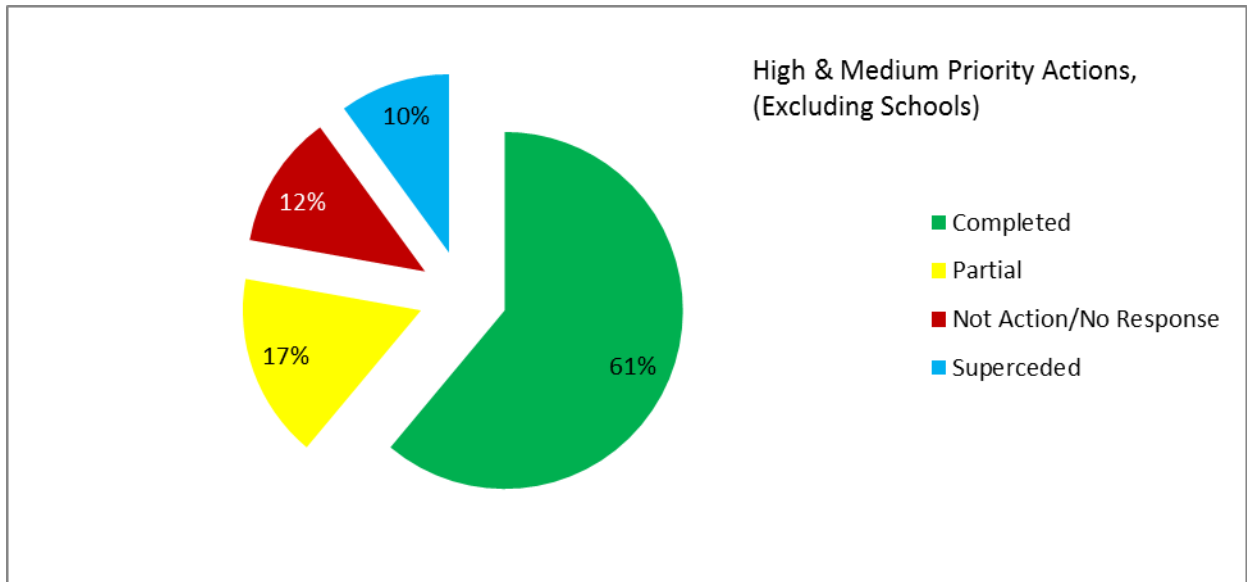
5.1 **Finalising Internal Audit Reports**

5.1.1 The table below shows those Internal Audits that remain in draft and are yet to be finalised as at 28th February 2017.

Audit Title	Draft Issued	Responses due	Client sponsor	Rating
Voluntary Sector Commissioning 7 16 17	01 November 2016	This audit is being reviewed	Alan Sinclair	Amber Red
Fixed Penalty Notice 9 16 17	3 rd October 2016	17 th October 2016	Mike England	RED
Governance	30 th January 2017	13 th February 2017	Roger Parkin	Amber Red
Housing Benefits	14 th February 2017	This audit is under review	Neil Wilcox	Amber Green

5.1.2 The Risk and Insurance Officer regularly monitors the progress of the implementation of "high" or "medium" recommendations made following Internal Audit reports. Below is a graph that shows the percentage of recommendations that have either been implemented, are in progress, no action has been taken, or the recommendation has been superseded.

5.1.3 The number of implemented recommendations has increased to 61%, up on the 58% reported at the previous Audit and Corporate Governance committee.



5.1.4 Appendix 1 shows the detail of the individual audits where management actions remain outstanding.

5.2 **Corporate Risk Register**

5.2.1 Appendix 2 shows the current Corporate Risk Register

6. **Comments of Other Committees**

N/A.

7. **Conclusion**

That the Committee note the progress of the implementation of Internal Audit recommendations and details of the Council's Risk Register.

8. **Appendices Attached**

Appendix 1 – Outstanding Internal Audit recommendations
Appendix 2 – Corporate Risk Register

9. **Background Papers**

None.